PROFESSIONAL SERVICES AGREEMENT

BETWEEN WEBB COUNTY AND JESSE GERARDO HERNANDEZ, AS EVALUATOR FOR THE 406TH DISTRICT COURT, DRUG COURT PROGRAM EXPANSION AND ENHANCEMENT PROJECT VETERANS TREATMENT PROGRAM, SUBSTANCE ABUSE MENTAL HEALTH SERVICES ADMINISTRATION (SAMHSA) GRANT FUND NUMBER 1H79TI026702-01

This Agreement is made and effective the 30th day of September 2016, by and between WEBB COUNTY, a political subdivision of the State of Texas on behalf of the 406th District Court Veterans Treatment Program, hereafter referred to as Webb County, and, La Familia Consulting & Counseling Services, L.C. hereinafter referred to as Program Evaluator.

RECITALS

WHEREAS, Webb County through the 406th District Court Veterans Treatment Program is authorized by Chapter 469 of the Texas Health and Safety Code to provide an alternative to traditional criminal sanctions for eligible participants of the Drug Court Program; and

WHEREAS, one of the goals of the Webb County and the 406th District Court Veterans Treatment Program is to assist participants of the Veterans Treatment Program in modifying their behavior so that they may be re-integrated into society as socially acceptable, self-sustaining and productive citizens of the community; and

WHEREAS, Webb County desires to secure professional services to evaluate and monitor the 406th District Court Drug Court Program Expansion and Enhancement Project for Veterans Treatment Program, Substance Abuse Mental Health Services Administration (SAMHSA) grant fund number 1H79TI026702-01; and

WHEREAS, Evaluator has the experience and qualifications required to provide professional services to the Webb County 406th District Court Veterans Treatment Program on the terms and conditions provided herein; and

WHEREAS, Evaluator shall to the satisfaction of Webb County and its grantor, evaluate and monitor program activities as requested and described in this Agreement.

NOW, THEREFORE, Webb County hereby retains the services of the Evaluator, and Evaluator agrees to render professional services, set out and described below, to the 406th Services Administration District Court Program Expansion and Enhancement project, specifically The Veterans Treatment Program, Substance Abuse Mental Health (SAMHSA) grant fund number 1H79TI026702-01, hereinafter referred to as Project:

TERM

1. The term of this Agreement shall be for a period of 12 months beginning on September 30, 2016, and ending on September 29, 2017, provided WEBB COUNTY is awarded funding for the year and both parties are satisfied with the working arrangements governed by this Agreement.

PROFESSIONAL SERVICES AND DUTIES OF EVALUATOR

- 2 Evaluator shall monitor the performance and compliance of the Project with Model fidelity to keep Court personnel and the Council's Executive Director and all program staff aware of program standards and issues;
- 3 Evaluator shall develop a methodology for cross-referencing client and program outcomes and contextual factors in order to develop a complete picture of how clients are responding to treatment and services;
- 4 Evaluator shall review the goals and objectives, attached hereto as Exhibit A and incorporated herein as if fully set out, to determine and insure that the program is meeting the described needs, key activity milestones, goals, objectives, and outcomes;
- 5 Evaluator shall assess the success of the coordinated outreach, infrastructure development and service delivery approach and develop recommendations to improve the system;
- 6 Evaluator shall prepare and submit monthly and annual performance reports that shall include the following:
 - A. A comprehensive review of performance measures, goals, objectives and outcomes and early identify and address implementation concerns through quality assurance, program management and the program advisory board;
 - B. Identification and documentation of all issues, including barriers and benefits, throughout the project;
 - C. Document and report program adjustments to barriers;
 - D. Review of program according to timelines established by the grant application;
 - E. Review of goals and objectives to measure whether they are being met in a timely manner to insure achievement.
 - F. Respond to the designated outcome questions and designated process questions, attached hereto as Exhibit B.
- 7. Evaluator shall administer evaluation tools, analyze data collected and recommend program

changes if needed;

- 8 Attend and participate in quarterly cross-agency team meetings to review client and program progress;
- 9. Evaluator shall attend the annual National Drug Court Conference (where scheduled) and the Annual Joint Grantee Meeting [5MM-ISA] (where scheduled);
- 10. Evaluator shall perform any and all other services as described in Program's grant application whether they are stated herein or not.

WEBB COUNTY OBLIGATIONS

- 11.WEBB COUNTY, by and through 406th District Court Veterans Treatment Program, will be responsible for:
 - A. A Program staff secretary shall maintain all data needed to be organized, analyzed and assessed by the Evaluator;
 - B. Collecting and reporting client-level data consistent with SAMHSA's requirements and will ensure the quality and security of all data collected;
 - C. Identify and address barriers to the collection of client-level data and relay these potential barriers to the Evaluator;
 - D. Maintain the technological infrastructure (PCs, LAN, internet access) needed to communicate with the Evaluator to ensure the flow of data and other relevant information;
 - E. Maintain a Quality Assurance Management team consisting in part of the Program Director, and Treatment Director which will work with the Evaluator to implement his recommendations for maintaining and/or improving the program;
 - F. Respond to Evaluator's request for information or data on a timely basis;
 - G. Coordination and preauthorization of the Evaluator's travel expense (Hotel, Meals, and transportation) for the annual National Drug Court Conference (where scheduled) and the annual Joint Grantee Meetings (where scheduled) [5MM-ISA] and thereafter reimbursement of said expenses.
 - H. Coordination and preauthorization of the Evaluator's travel expense (Hotel, Meals, and transportation) as necessary, for trainings and evaluation reports and thereafter reimbursement of said expenses.

CONFIDENTIALITY

12. Any reports, information, data or studies given or assembled by Evaluator under this Page 3 of 11

Agreement shall be kept confidential and shall not be made available to any individual or organization without the prior written approval of WEBB COUNTY, unless otherwise required by law. Evaluator shall further comply with any and all regulations under the Health Insurance Portability and Accountability Act, the Alcohol and Drug Abuse Patient Records Regulations found at 42 CFR 2, the Program's Privacy Rules, and the Participant's Consent Rules.

INDEPENDENT CONTRACTOR

13. It is the intention of the parties that under this Agreement the Evaluator is an Independent Contractor and not an employee of Webb County. In this regard, Webb County shall not dictate the manner and method of providing services so long as such services are provided in compliance with accepted procedures and standards of care of Evaluator's profession.

In order to protect Webb County, Evaluator shall maintain a policy of professional liability insurance in an amount of two million dollars (\$2,000,000) and vehicle liability insurance in an amount of one million dollars (\$1,000,000). The Evaluator shall further indemnify and hold Webb County harmless from any and all claims arising out of the performance of his duties under this Agreement.

PERSONNEL AND EQUIPMENT

14. Evaluator agrees to provide all equipment and personnel with the required skills, expertise and resources needed to perform the above mentioned services at no additional cost to Webb County.

NON-ASSIGNABILITY

15. Evaluator shall not assign any interests in this Agreement nor delegate the performance of any of his duties herein specified without the written consent of Webb County.

GOVERNING LAW

16. This Agreement shall be governed and construed according to the laws of the State of Texas. Jurisdiction and venue for any action or claim arising out of this Agreement shall be in Webb County Texas.

ENTIRE AGREEMENT

17. This Agreement supersedes any and all prior agreements between Webb County and Evaluator whether written or oral. If any item, provision, covenant or condition of this Agreement should be held by a court of competent jurisdiction to be invalid, void or unenforceable, and such term, provision or condition is not an essential part of the Agreement and appears not to have been a controlling or material inducement to the making thereof, the same shall be deemed of no effect, and shall upon application of either party be stricken from the Agreement without affecting the binding force of the Agreement as it shall remain after omitting such provision.

AMENDMENT

18. This Agreement may only be amended by the mutual agreement of the parties hereto in writing.

PROFESSIONAL FEES AND EXPENSES

- 19. In consideration for the Professional Services to be performed by Evaluator under this Agreement, Webb County shall pay Evaluator not more than \$3,000.00 per month, for time spent on evaluation, monitoring and other professional services defined herein. Evaluator shall submit written, signed reports of the time spent performing the services described herein, itemizing in reasonable detail the dates on which services were performed, the number of hours spent on such dates and a brief description of the services rendered. Webb County shall pay Evaluator the amounts due pursuant to submitted invoices within 30 days after such reports are received by Webb County. The payment of fees shall not exceed \$36,000.00 on an annual basis and may be adjusted in years subsequent to the 2016-2017 award year based on funds awarded by grantor (SAMHSA).
- 20. Travel expense reimbursement rate will be at the state per diem rate for travel, lodging, and meal expenses. Such other reasonable expenses will be agreed upon by both parties before authorization. Evaluator shall submit a Travel/Expense Reimbursement Claim Form [attached as Exhibit C] along with written documentation when requesting travel reimbursement.
- 21. Webb County shall compensate Evaluator not more often than monthly upon his submission of fee invoices and Travel/Expense Reimbursement Claim Forms, if any, to the Honorable Oscar J. Hale, Jr. Judge 406th District Court, Webb County, Texas, 1110 Victoria Street, Suite 402, Laredo, Texas 78040.

TERMINATION

22. Either party may terminate this Agreement upon thirty (30) days written notice to the other party. Evaluator will be paid to the date of termination and final payment will be prorated if termination date falls prior to month end.

IMMUNITY

23. Webb County does not and shall not waive or relinquish any immunity or defense on behalf of itself, its commissioners, offices, employees and agents as a result of the execution of this Agreement and performance of the functions and obligations described herein

ADDITIONAL PROVISIONS

24. This Agreement is made as a result of the 406th District Court Drug Court Program Expansion and Enhancement Project, specifically, The Veterans Treatment Program, Substance Page 5 of 11

Agreement Evaluator For the 406th Veterans Treatment Program

Abuse Mental Health Services Administration (SAMHSA) grant fund number 1H79TI026702-01, which has been awarded to Webb County. The Assurances and Certifications of the grant application are reflected in Substance Abuse Mental Health Services Administration (SAMHSA) grant fund number: 1H79TI026702-01 and incorporated herein as if set out in full. Evaluator has received a true and correct copy of said Assurances and Certifications and agrees to abide by those Assurances and Certifications for the duration of the Agreement.

NOTICES

26. Unless otherwise provided in this Agreement, all notices shall be in writing. All notices shall be delivered by personal delivery or by United States mail, first-class, postage prepaid, return receipt requested. If mailed, any notice or communication shall be deemed to be received three (3) days after the date of deposit in the United States mail, first-class, postage prepaid, return receipt requested. Notices shall be delivered to the following addresses:

To Webb County: Honorable Tano Tijerina
Webb County Judge
1000 Houston Street
Laredo, Texas 78040

And

Honorable Oscar J. Hale, Jr. Judge 406th District Court, Webb County, Texas 1110 Victoria Street, Suite 402 Laredo, Texas 78040

To Evaluator: La Familia Consulting & Counseling Services, L.C.

1319 Corpus Christi Laredo, Texas 78040

Either party may designate a different address by giving the other party at least ten (10) days written notice in the manner prescribed above.

WITNESS OUR HANDS EFFEC	TIVE the, 20
WEBB COUNTY, TEXAS:	406 th DISTRICT COURT VETERANS PROGRAM EVALUATOR:
By:	By:
Tano Tijerina	La Familia Consulting & Counseling
Webb County Judge	Services, L.C.
By:	
Oscar J. Hale, Jr., Presiding 406 th District Court Veteran	g Judge as Treatment

ATTESTED:

Margie Ramirez Ibarra

Webb County Clerk

APPROVED AS TO FORM:

Marc Montemayor Webb County Attorney*

*By Law, the County Attorney's office may only advise or approve contracts or legal documents on behalf of its clients. It may not advise or approve a contract or legal document on behalf of other parties. Our review of this document was conducted solely from the legal perspective of our client. Our approval of this document was offered solely for the benefit of our client. Other parties should not rely on this approval, and should seek review and approval of their own respective attorneys.

EXHIBIT A

Resources	Program Components	Outputs	Outcomes
(Needs)	(Activities)	(Objectives)	(Goals)
1) Treatment	1. 2. Thinking for a	1. At least 85% of participants in the VADCP will reduce	To promote
services	Change	the frequency of alcohol and other drug use	self-
veterans are	3, Screening /Assessment	2. At least 80% of the eligible participants will complete suffice	
fragmented	4. Community-Based	the program successfully and remain abstinent at 60 days, reduced	
due to	Counseling	90 days and 6 month follow-up	alcohol and
limited	5. Group Therapy	3. 100% of the participants who graduate will complete a	drug abuse,
funding for	6. Continuum of Care	relapse prevention plan using CENAPS Model.	and improving
comprehen-	5. Brief Interventions	4. 100% of the participants who successfully graduate	mental health
sive	6. Relapse Prevention	will have completed the Cognitive Behavioral Therapy	through
services.	7. Outpatient Treatment	(CBT) Thinking for a Change model to change distorted	treatment in
	8. Random Urinalysis	thinking patterns that impact mental health, and lead to	the VADCP
	Testing	criminogenic behavior.	
2) Veterans	1. MET	1. 100% of the participants referred will be actively	To provide an
need to be	2. Matrix Model	receiving alcohol and drug abuse treatment at any given	integrated
motivated,	2. BST/Vivitrol	time and will complete the MET Model and the Matrix	program of
educated	3. Counselors	Treatment Model Protocols.	alcohol, and
and	4. Case managers	2. At least 80% of the participants who complete VADCP	drug abuse
provided	5. Referrals	will be employed upon graduation.	treatment,
with hope	6. Justice System	3. 25% of the high risk/high need participants of the ORP	education, and
for VADCP	(Judicial, Prosecutor,	who are alcohol, or opiate dependent (25% of target goal	rehabilitation
compliance	Defense, Probation)	- 56 clients) will participate in Broad Spectrum service	
including	provide rewards and	Treatment (BST) and Naltrexone for Alcohol	veterans
retention,	Incentives.	Dependence model for high risk/high need alcohol and	through
completion,		opiate dependent clients by using injectable Naltrexone	VADCP
and drug		(Vivitrol).	enhanced .
and alcohol		4. At least 80% of the participants who complete the	services.
usage.	1 14 117 11 0	VADCP will be employed upon graduation.	.
3) Veterans	1. Mental Health Screening	1. 100% of the VADCP participants will be screened for	To improve
have a high	Form-III.	co-occurring psychiatric and substance use disorders as	mental
incidence of	2. Millon Multiaxial	per the protocol of TIP 42 using the Mental Health	health/PTSD
mental	Clinical Inventory III	Screening Form-III.	and health
health/PTSD	(MCMI III).	2. 100% of the VADCP participants screened and	status for
and health	3.Co-Occurring	identified with co-occurring psychiatric and substance	VADCP
issues, and	Psychiatric and	abuse disorders will have a structured mental health	participants as
are also at	Substance Abuse	assessment completed including being administered the	well as
high risk for	Disorders (COPSD	Millon Multiaxial Clinical Inventory III (MCMI III.	prevention and
contracting	4. Trauma Informed	3. 100% of VADCP participants assessed with co-	treatment of
HIV/AIDS/	Services - Seeking Safety	occurring psychiatric and substance use disorders will be	infectious
STD's/Hep	Model Program for PTSD.	referred to the Laredo/Webb County Veterans Clinic for	diseases.
C.	5. Gateway Community	psychiatric services, medication management, and social	
	Health Center	services, as well as other community appropriate based	
	6. Counseling-Testing Referral(CTR), Health	providers including but not limited to LPC's, LMFT's	
	Referral(CTR), Health Education and Risk	and LCSW's for individual marital and family counseling	
		as well as to appropriate agencies for case management.	
	Reduction (HERR), Hep B&C	4. 100% of VADCP participants assessed with co-	
		occurring psychiatric and substance use disorders and	
	7. Tobacco Cessation Education and referral	PTSD will be provided with Prolonged Exposure	
		Therapy (PE) for PTSD. 5. 100% of VADCR portioinants will be referred for	
	8. Case management,	5. 100% of VADCP participants will be referred for	
	referrals, wraparound	health services to the Laredo/Webb County Veterans	
	services	Clinic, as well as appropriate health care providers	

		•	
		including, but not limited to private physicians and the	
		Gateway Community Health Center.	
		6. 100% of VADCP participants will be referred for	
		comprehensive HIV/AIDS/ STD prevention, Hepatitis	
		B&C education and CTR services at community based	
		CDC services with either the City of Laredo Health	
		Department, or nonprofit agencies.	
		7. 100% of VADCP participants will be referred for	
		tobacco cessation education and for appropriate medical	
		intervention with local health care providers	
4) Veteran	VADCP, Justice System	1. No more than 5% of the participants who graduate	To promote
offenders	(Judicial, Prosecutor,	from the VADCP will be rearrested within six months.	public safety
are often	Defense, Probation) provide	2. No more than 10% of the participants who graduate	by reducing
rearrested.	rewards and incentives, as	from VADCP will be rearrested within one year.	recidivism for
	well as sanctions.	, and the second	participants.
5) Veteran	1. Justice System	1. To reduce costs to the criminal justice system by 10%.	To evaluate
offenders	(Judicial, Prosecutor,	2. Not more than 5% of participants who graduate from	the cost-
increase the	Defense, Probation)	the program will have child abuse or neglect allegations	effectiveness
cost to	provide rewards and	filed within one year post graduation will reduce costs to	of the VADCP
operate the	incentives, as well as	the criminal justice system.	and to promote
justice	sanctions.	3. VADCP staff will pursue additional funding from	future funding
system,	2. Staff will	TXDHS, Webb county, private foundations and other	and
CPS. And	explore all funding	sources to continue services.	development
other social	avenues		of diversified
services			funds.

Unduplicated Number of Individuals to be served:

Year 1	Year 2	Year 3
40	45	50

EXHIBIT B

DESIGNATED OUTCOME QUESTIONS

- 1. What was the effect of the Drug Court intervention on participants? [Evaluator shall use pre- and post-intervention GPRA data collection to answer this question]
- 2. What program/contextual factors were associated with outcomes?
- 3. How did the policies and procedures of the Drug Court affect participant success?
- 4. What factors in the administration of the Court's mandated interventions were associated with long-term sobriety at follow-up?
- 5. Which, if any, factors in the administration of the Court's mandated interventions were associated with relapse?
- 6. What individual factors were associated with outcomes? [Evaluator shall use client information data, collected and captured through a global assessment. that includes many individual factors, during the intake process]
- 7. How durable were the effects? [The Evaluator shall measure the durability of effects through follow-up GPRA data that are collected at 6 months and 12 months. It is expected that most participants will complete the MET counseling sessions approximately 3 months after admission and the Matrix Model approximately 8 months after admission. This will allow the program Evaluator to assess durability at two points upon completion of the program.]

DESIGNATED PROCESS QUESTIONS:

- 8. How closely did implementation match the plan? [Evaluator shall evaluate the program from a qualitative viewpoint. The evaluator will regularly communicate with the project director and the 406th District Court Drug Court Team and monitor activities according to the grant application proposal's narrative, timeline and other expected measures of activities. Activities will be documented regularly to assess implementation fidelity.]
- 9. What types of deviation from the plan occurred? What led to the deviations? And what effect did the deviations have on the planned intervention and performance assessment? [If there are deviations from the fidelity of the implementation plan, the evaluator will document them and the barriers, concerns and contextual factors that required the program deviation. In addition, the evaluator will provide additional assessment of the deviation to document the effects produced by the deviation. The Evaluator shall include whether the deviation impacted processes or outcomes.]
- 10. Who provided (program staff) what services (modality, type, intensity, duration) to whom

EXHIBIT C

406TH DISTRICT COURT DRUG COURT PROGRAM EXPANSION AND ENHANCEMENT VETERANS TREATMENT PROGRAM PROJECT EVALUATOR TRAVEL/EXPENSE REIMBURSEMENT CLAIM FORM

DATE SUBMITTED:	
REQUESTED GRAND TOTAL: \$	
DEPARTMENT HEAD	CERTIFICATION TO THE
COUNTY AUDITO	<u>R:</u>
v v	•
Oscar J. Hale, Jr., Judge 406 th District Court	
CERTIFICATION BY LA FAMILIA C SERVICES, L.C., EVALUATOR/CLAI	
I hereby certify that the information contained on thave attached documentation of the actual expenditure this form.	v
Evaluato	Consulting & Counseling Services, or/Claimant
TRAVEL DATES: =	miles @\$.50 mile = TOTAL: \$
AIR FARE: Round trip to and from Laredo, Texas to	
MEALS: Breakfasts @ \$10.00 each = \$	
Lunches @ \$14.00 each = \$	
Dinners @ \$16.00 each = \$	
TOTAL: \$	_
LODGING: [SUGGESTED RATES ADMINISTRATION PERDIEM RATE CHART ATTACHED]	U.S. GENERAL SERVICES
night (s) on trip @ per night = TOTAL	\$
OTHER EXPENSES (receipts required):\$ TOTAL	\$

Page 11 of 11